

Oxidation of 2'-Hydroxy- α -phenylchalcones: Substituent Effects on the Course of the Algar-Flynn-Oyamada (AFO) Reaction

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In alkaline hydrogen peroxide, 2'-hydroxy- α -phenylchalcones with and without a 6'-methoxy-substituent cyclised preferentially at the β -carbon atom to give chromanones. Fusion of a benzene ring at the 5'- and 6'-positions in the chalcone affected the directive influence of the α -phenyl group, and AFO oxidation afforded a 1 : 1 mixture of α - and β -cyclisation products. Products of aerial oxidation of the chalcones were also studied.

THE AFO reaction¹ (oxidation of 2'-hydroxychalcones by alkaline hydrogen peroxide) affords a useful general synthesis of flavanoids.

The preferential formation of derivatives of auronone [2-benzylidenebenzofuran-3(2*H*)-one] in the AFO oxidation of 2'-hydroxychalcones with a phloroglucinol-type ring A is due to the directive influence of the 6'-substituent.² This influence may be counteracted to some extent by the presence of a 2-³ or 4-⁴ hydroxy-group or by an increase in reaction temperature.⁵

As part of a programme to extend the scope of the AFO reaction, a series of experiments was carried out on 2'-hydroxychalcones containing an α -phenyl substituent. It was considered that coulombic repulsion of the α -phenyl group by the phenoxide ion would outweigh

the steric effect of a 6'-methoxy-group and lead to preferential cyclisation at the β -carbon atom to give chromanones.

The chalcones (Ia and b) chosen for the initial studies were prepared by condensation of the corresponding deoxybenzoin and aldehyde, and were obtained as mixtures of *Z*- and *E*-isomers. The assignment of configuration to these chalcones is based on their u.v. and i.r. spectra. The chalcones assigned a *Z*-configuration exhibited larger ϵ values than the corresponding *E*-isomers and their ν_{CO} i.r. bands are at higher wavenumbers (10 cm⁻¹).⁶ The *E*-isomer of the chalcone (Ia), which is the main condensation product, was used in the oxidation studies. The major oxidation products (IIa and b) have spectra (i.r. and n.m.r.) in accord with the chromanone structure. Mass spectra provided conclusive evidence for the heterocyclic structures.

⁶ (a) R. M. Silverstein and G. C. Bassler, 'Spectrometric Identification of Organic Compounds,' Wiley, New York, 1967; (b) W. B. Black and R. E. Lutz, *J. Amer. Chem. Soc.*, 1953, **75**, 5990; (c) W. F. Winecoff, tert., and D. W. Boykin, jun., *J. Org. Chem.*, 1972, **37**, 674; (d) P. J. Duke and D. W. Boykin, jun., *ibid.*, p. 1436.

¹ T. S. Wheeler, *Rec. Chem. Progr.*, 1957, **18**, 133.

² T. A. Geissman and D. K. Fukushima, *J. Amer. Chem. Soc.*, **70**, 1686.

³ T. H. Simpson and W. B. Whalley, *J. Chem. Soc.*, 1955, 166.

⁴ N. Anand, R. N. Iyer, and K. Venkataraman, *Proc. Indian Acad. Sci.*, 1949, **29A**, 203.

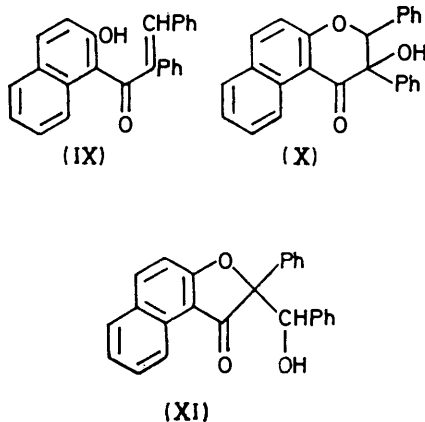
⁵ (a) J. Algar and J. P. Flynn, *Proc. Roy. Irish Acad.*, 1934, **42B**, 1; (b) T. Oyamada, *J. Chem. Soc. Japan*, 1934, **55**, 1256.

(isomeric with 2'-hydroxy- α -phenylchalcone) to autoxidation was recognised. It may occur subsequent to cyclisation of the chalcone and enolisation. Experiment demonstrated that treatment of the 2'-hydroxychalcone (Ia) with sodium hydroxide (1.5%) yielded only the isomeric *trans*-3-phenylflavanone (III); however, retention of the latter compound in solution by introduction of ethanol gave the product (IIa). Studies of this base-catalysed reaction under varying conditions (e.g. presence of air or oxygen; pH) showed yields akin to those observed in the AFO reaction. Results obtained by changing pH are summarised in Table 1. The pH in the AFO reactions is 13.2.

TABLE 1
Product dependence on pH (% yields)

Product	pH 8	pH 9	pH 10	pH 11
2'-Hydroxy- α -phenylchalcone	84	60	0	14.5
<i>t</i> -3-Phenyl(<i>r</i> -2-Ph)flavanone	16	40	100	53.6
3-Hydroxy-3-phenylflavanone	0	0	0	31.9

Autoxidation at a benzylic position adjacent to a carbonyl group is recorded as a side reaction in the oxidation of indan-1-one¹¹ and in the alkylation of 2-acetyl-2-hydroxycoumaranone.¹² A further example is the conversion of (\pm)-deguelin into (\pm)-tephrosin and (\pm)-isotephrosin.⁹



EXPERIMENTAL

Unless otherwise stated, the following generalisations apply. M.p.s were determined with a Kofler hot-stage apparatus. I.r. spectra were measured for KBr discs and 60 MHz n.m.r. spectra for solutions in deuteriochloroform (tetramethylsilane as internal reference). Merck Kieselgel HF₂₅₄ and PF₂₅₄₊₃₆₆ were used for thin- and thick-layer chromatography. The chromatograms were examined under u.v. illumination and by spraying with chlorosulphuric acid in acetic acid followed by heating.

General Preparation of Chalcones.—Equimolar quantities of the appropriate 2'-hydroxydeoxybenzoin and aldehyde in ethanol were refluxed with aqueous potassium hydroxide (50%) for 1 h. The product was poured into an excess of ice-hydrochloric acid (3 : 1) to liberate the chalcones, which were mixtures of *E*- and *Z*-isomers. From preparative chromatography, the *E*-isomers of the chalcones (Ia and b) were obtained as crystalline solids. (*E*)-2'-Hydroxy- α -

phenylchalcone (Ia)¹³ had m.p. 130–132° (pale yellow plates from methanol). (*E*)-2'-Hydroxy-2-methoxy- α -phenylchalcone had m.p. 125–126° (yellow needles from methanol) (Found: C, 79.8; H, 5.4. C₂₂H₁₈O₃ requires C, 79.9; H, 5.5%); ν_{\max} 1 625 cm⁻¹; λ_{\max} (MeOH) 343 nm (ϵ 15 400). (*Z*)-2'-Hydroxy-2-methoxy- α -phenylchalcone was an oil (Found: C, 79.8; H, 5.4%); ν_{\max} 1 632 cm⁻¹; λ_{\max} (EtOH) 344 nm (ϵ 24 500). 2'-Hydroxy-4,6-dimethoxy- α -phenylchalcone (Ib) was a yellow oil showing a dark brown colouration with iron(III) chloride. Attempts at purification repeatedly caused cyclisation to 5,7-dimethoxy-*t*-3-phenyl(*r*-2-Ph)flavanone, m.p. 140–151° (needles from methanol) (Found: C, 76.9; H, 5.8. C₂₃H₂₀O₄ requires C, 76.7; H, 5.6%); ν_{\max} 1 669 cm⁻¹; τ 4.5 (d, 2-H), 5.95 (d, 3-H) ($J_{2,3}$ 10.05 Hz). 1-(2-Hydroxy-1-naphthyl)-2,3-diphenylprop-2-en-1-one (IX) had m.p. 130–132° (yellow needles from methanol) (65% yield) (Found: C, 85.4; H, 5.05. C₂₅H₁₈O₂ requires C, 85.7; H, 5.1%); λ_{\max} (MeOH) 290 nm (ϵ 22 000). Acetylation (pyridine-acetic anhydride) afforded an *acetate*, m.p. 110° (needles from *n*-hexane) (Found: C, 82.7; H, 5.3. C₂₇H₂₀O₃ requires C, 82.6; H, 5.1%); τ 7.75 (s, 2'-OAc).

Algar-Flynn-Oyamada Oxidation.—In general, the 2'-hydroxy- α -phenylchalcone (1.0 g) in a mixture of methanol (20 ml) and aqueous sodium hydroxide (10 ml; 10%) was kept at 0–5 °C during addition of hydrogen peroxide (2 ml; 30%) with stirring, which was continued for a further 72 h at 0 °C. The product was liberated by ice-hydrochloric acid and extracted with ether. Evaporation of the washed and dried extracts gave the 3-hydroxy-3-phenylflavanones. The results of the oxidation experiments are summarised in Table 2.

Autoxidation.—A mixture of the *E*-chalcone (Ia) [or the flavanone (III)] (0.3 g), ethanol (20 ml), and sodium hydroxide (10 ml; 1.5%) was stirred at room temperature during 24 h, then added to ice-cold hydrochloric acid and extracted with chloroform. Evaporation of the washed and dried extracts gave 3-hydroxy-3-phenylflavanone (IIa) and the corresponding flavanone (III). Repetition of the reactions in oxygen usually gave improved yields (see Table 2).

Autoxidation of 2'-Hydroxy- α -phenylchalcone (Ia) at various pH Values.—In each experiment a buffer solution (2.5 ml) of specified pH was added to a solution of the chalcone (Ia) in ethanol (5.5 ml). The mixture was stirred at room temperature for 24 h and added to ice-cold hydrochloric acid (10%). Extraction with chloroform and evaporated yielded a mixture which was separated by preparative t.l.c. [eluant chloroform–benzene (3 : 1)]. The eluted compounds were crystallised from methanol and identified by mixed m.p. and n.m.r. spectra (Table 1).

Synthesis of 3-Hydroxy-3-phenylflavanone (IIa).—*t*-3-Phenyl(*r*-2-Ph)flavan-*c*-4-ol (IV). *i*-3-Phenyl(*r*-2-Ph)flavanone¹³ (500 mg) was reduced with sodium borohydride (500 mg) in methanol (40 ml) at room temperature. The product was liberated by ice-cold acetic acid. Crystallisation of the solid from aqueous methanol gave the *flavan-4-ol* (400 mg) as plates, m.p. 127–128° (Found: C, 83.5; H, 6.1. C₂₁H₁₈O₂ requires C, 83.4; H, 6.0%); ν_{\max} 3 305 cm⁻¹; τ 4.66 (d, *J* 11.05 Hz, 2-H), 6.74 (m, *J* 11.05 Hz, 3-H), and 4.69 (d, *J* 11.05 Hz, 4-H). The *monoacetate* crystallised from methanol as needles, m.p. 116° (Found:

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¹² D. M. X. Donnelly, A. K. Keenan, T. Leahy, E. M. Philbin, G. Janszó, F. Kállay, and I. Koczár, *Tetrahedron*, 1972, 28, 2645.

¹¹ D. W. Brown, C. Denman, and H. O'Donnell, *J. Chem. Soc.*, 1971, 3195.

TABLE 2
Results of oxidation experiments and relevant spectroscopic data

α-Phenylchalcone 2'-Hydroxy-(Ia)	Oxidation product ^a (3-phenylflavanone) 3-Hydroxy (IIa)	% Yield			M.p. (°C) (solvent)	Found (%)		Reqd. (%)		ν _{max} /cm ⁻¹		τ Values (J/Hz) ^b					
		AFO	air	O ₂		C	H	C	H	OH	CO	3-H	3-OH	5-H/ OMe	6-H	7- OMe	2'- OMe
		70	50	73	152—154 (MeOH)	79.6	5.3	79.76	5.1	3 450	1 684	4.47	5.81	1.8 (d) (9.5)	2.31 (d) (9.5)		
2'-Hydroxy-4',6'- dimethoxy-(Ib)	3-Hydroxy-5,7- dimethoxy-(IIb)	52			172—173 (EtOH)	73.8	5.7	73.4	5.4	3 445	1 668	4.61	5.52	6.1	3.8	6.1	
2'-Hydroxy-2-methoxy-	3-Hydroxy-2'-methoxy- 2'-Methoxy-	20	43	40	156—157 (MeOH)	75.9	5.4	76.2	5.25	3 445	1 688	3.76	5.95	1.8 (d) (8.5)			6.09
		21	10	47	139—140 (MeOH)	79.8	5.4	79.9	5.4		1 687	3.97(d) (11)	5.68(d) (11)				6.4

^a Unchanged chalcone was recovered in each oxidation reaction. ^b Unless otherwise stated all signals are singlets.

C, 80.4; H, 5.9. C₂₃H₂₀O₃ requires C, 80.2; H, 5.9%; ν_{max} 1 754 cm⁻¹, τ 3.37 (d, J 9.48 Hz, 4-H), 4.63 (d, J 10 Hz, 2-H), and 6.43 (m, J 10 and 9.48 Hz, 3-H).

3-Phenylflav-3-ene (VI). Phosphorus tribromide (630 mg) was added to a stirred solution of 3-phenylflavan-4-ol (500 mg) in anhydrous ether at 0 °C and the solution was kept at room temperature for 16 h. Evaporation of the washed and dried ethereal extract afforded *c*-4-bromo-*t*-3-phenyl(*r*-2-Ph)flavan (V) as an unstable solid (80—90%). The n.m.r. spectrum was recorded immediately [τ 5.02 (d, J 10.1 Hz, 2-H), 6.38 (m, 3-H), and 4.30 (d, J 9.8 Hz, 4-H)]. The bromoflavan (V) (450 mg) in anhydrous pyridine (20 ml) was refluxed for 18 h and then added to an excess of ice-cold dilute hydrochloric acid. The 3-phenylflavene (VI) which separated crystallised from ethanol in needles (270 mg), m.p. 125—126° (Found: C, 88.5; H, 5.9. C₂₁H₁₆O requires C, 88.7; H, 5.7%; ν_{max} 1 629 cm⁻¹, τ 3.71 (s, 2-H).

3-Phenyl(*r*-2-Ph)flavan-*c*-3,4-diol (VII). A solution of 3-phenylflav-3-ene (450 mg) in anhydrous benzene was added to a solution of osmium tetroxide (500 mg) in benzene (20 ml). Pyridine (0.5 ml) was added and the mixture kept (3 days) at room temperature. The red crystals which separated were redissolved in methylene chloride (50 ml). This solution was stirred (6 h) during the addition of potassium hydroxide (2 g), mannitol (2 g), and water (50 ml). The organic layer was separated and the aqueous layer further extracted with methylene chloride. The residue obtained by evaporation of the dried extracts was crystallised from benzene-light petroleum (b.p. 40—60°) to give the diol (290 mg) as plates, m.p. 110—112° (Found: C, 79.5; H, 6.0. C₂₁H₁₈O₃ requires C, 79.2; H, 5.7%; ν_{max} 3 335 and 3 261 cm⁻¹, τ 4.78 (s, 2-H), 5.15 (s, 4-H), 6.53 (s, 3-OH), and 7.1 (s, 4-OH).

3-Phenyl(*r*-2-Ph)flavan-*c*-3,4-diol (VIII). Reduction of 3-hydroxy-3-phenylflavanone (0.4 mg) in methanol with sodium borohydride (0.4 g) gave the corresponding diol (80%), m.p. 172—173°, rhombs from benzene-light petroleum (Found: C, 79.5; H, 5.9. C₂₁H₁₈O₃ as above); ν_{max} 3 490 cm⁻¹. The monoacetate had m.p. 109—110° (Found: C, 76.7; H, 5.7. C₂₃H₂₀O₄ requires C, 76.65; H, 5.6%; ν_{max} 1 715 and 3 410 cm⁻¹; τ 8.11 (s, 4-OAc), 5.01 (s, 3-OH), 4.65 (s, 2-H), and 3.63 (s, 4-H).

3-Hydroxy-3-phenylflavanone. A solution of chromium trioxide (50 mg) in water (2 ml) and acetic acid (5 ml) was added with stirring to the diol (VII) (100 mg) in acetic acid (5 ml). The mixture was stirred for 3 h then diluted with water (200 ml) and extracted with chloroform. When purified from methanol, the oil gave the flavanone (IIa) as needles (20 mg), m.p. and mixed m.p. 153—154°.

Synthesis of 2'-Methoxy-3-phenyl(*r*-2-Ar)flavan-*c*-3,4-diol.—The procedure adopted was similar to the preceding example. For 2'-methoxy-*t*-3-phenyl(*r*-2-Ar)flavanone see Table 2. 2'-Methoxy-*t*-3-phenyl(*r*-2-Ar)flavan-*c*-4-ol had

m.p. 125—126° (rhombs from methanol) (Found: C, 79.0; H, 6.0. C₂₂H₂₀O₃ requires C, 79.5; H, 6.05%; τ 4.14 (d, J 11.0 Hz, 2-H), 6.4 (m, J 11.0 Hz, 3-H), 4.72 (d, J 11.0 Hz, 4-H), and 6.45 (s, OMe). 2'-Methoxy-*c*-3-phenyl(*r*-2-Ar)flavan-*c*-4-ol had m.p. 132—133° (plates from methanol) (Found: C, 79.8; H, 5.9%; τ 4.02 (d, J 2.5 Hz, 2-H), 6.06 (m, J 2.5 Hz, 3-H), 4.51 (d, J 2.5 Hz, 4-H), and 6.06 (s, 2'-OMe). 2'-Methoxy-3-phenylflav-3-ene had m.p. 106—107° (needles from ethanol) (Found: C, 84.3; H, 5.9. C₂₂H₁₈O₂ requires C, 84.05; H, 5.75%; ν_{max} 1 601 cm⁻¹. 2'-Methoxy-3-phenyl(*r*-2-Ar)flavan-*c*-3,4-diol had m.p. 111—112° [rhombs from benzene-light petroleum (b.p. 40—60°)] (Found: C, 75.8; H, 5.8. C₂₃H₂₀O₄ requires C, 75.8; H, 5.8%; τ 6.02 (s, 2'-OMe). 2'-Methoxy-3-phenyl(*r*-2-Ar)flavan-*c*-3,4-diol had m.p. 145—146° [rhombs from benzene-light petroleum (b.p. 40—60°)] (Found: C, 75.8; H, 5.6%; τ 6.2 (s, 2'-OMe).

Attempted Dehydration of 3-Hydroxy-3-phenylflavanone.—The 3-hydroxyflavanone (IIa) was heated (78 °C) in methanolic sulphuric acid (3 ml; 10%) for 24 h, cooled, and poured into ice-water. The solid which separated was crystallised from methanol to give starting material.

Cyclisation and AFO Oxidation of 1-(2-Hydroxy-1-naphthyl)-2,3-diphenylprop-2-en-1-one (IX).—The chalcone (IX) (1 g) in ethanol (10 ml) was treated (12 h) with sodium hydroxide (100 ml; 1.5%) to afford 2,3-dihydro-*cis*-2,3-diphenylnaphtho[2,1-*b*]pyran-1-one as an amorphous solid, m.p. 154° (Found: C, 85.6; H, 5.0. C₂₅H₁₈O₂ requires C, 85.69; H, 5.8%; ν_{max} 1 670 cm⁻¹; τ 5.99 (d, J 3.0 Hz, 2-H) and 4.08 (d, J 3.0 Hz, 3-H). Fractional crystallisation from ethanol-benzene yielded the *trans*-isomer, m.p. 170—172° (Found: C, 85.3; H, 5.3%; τ 5.72 (d, J 10.8 Hz, 2-H) and 4.26 (d, J 10 Hz, 3-H).

AFO Oxidation of the Chalcone (IX).—Hydrogen peroxide (7 ml; 30%) was added under reflux to a solution of the chalcone (IX) (1.5 g) in methanol (30 ml) and sodium hydroxide (25 ml; 10%). Purification of the product (1.2 g) afforded a mixture of 2,3-dihydro-2-hydroxy-2,3-diphenylnaphtho[2,1-*b*]pyran-1-one (X) and 2-(α-hydroxybenzyl)-2-phenylnaphtho[2,1-*b*]furan-1(2H)-one (XI). Attempted separation by t.l.c. proved unsuccessful. Analytical and spectroscopic (n.m.r., mass) analyses indicated that the two products were present (Found: C, 82.0; H, 5.3. C₂₅H₁₈O₃ requires C, 82.0; H, 5.0%; τ [compound (X)] 5.3 (s, 2-OH) and 3.2 (s, 3-H); τ [compound (XI)] 4.7 (d, α-OH) and 3.62 (d, α-H), J_{H,OH} 5.8 Hz.

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